

Atty. Docket No. CH919990043  
(590.041)

10/10/04  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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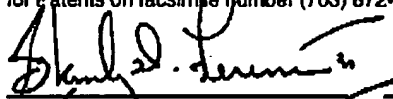
SEP 08 2004

In re Application of : Schade et al.  
Serial No. : 09/834,494 Examiner : J. Reagan  
Filed : April 13, 2001 Art Unit : 3621  
For : MATCH-MAKING IN ELECTRONIC TRADING  
SYSTEMS

September 8, 2004

AMENDMENT

I hereby certify that this correspondence and any documents referred to as enclosed therewith are being transmitted by facsimile to the Commissioner for Patents on facsimile number (703) 872-9326 on September 8, 2004.

  
Stanley D. Ference III  
Reg. No. 33,879

September 8, 2004  
Date of Signature

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Responsive to the Office Action dated June 8, 2004, please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 12 of this paper.

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## FERENCE & ASSOCIATES

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### USPTO FACSIMILE COVER SHEET

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To: Commissioner for Patents  
Fax Number: (703) 872-9326  
Date: September 8, 2004  
Pages: 17 pages (including this cover sheet)

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#### MESSAGE:

MATCH-MAKING IN ELECTRONIC TRADING SYSTEMS  
Application No. 09/834,494  
Examiner J. Reagan  
Art Unit 3621

Amendment Transmittal  
Amendment

CH919990043  
(590.041)

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FERENCE & ASSOCIATES  
Amendment Transmittal

Atty. Docket No. CH919990043

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Schade et al  
Serial No. : 09/834,494 Examiner : J. Reagan  
Filed : April 13, 2001 Group Art Unit : 3621  
For : MATCH-MAKING IN ELECTRONIC TRADING SYSTEMS

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith is an Amendment in the above-identified application.


1. ☐ Applicant requests an extension of time to respond to the outstanding Office Action. A Petition for an extension of time is enclosed.
- OR
2. ☒ In the event that an extension of time is required, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.
3. ☐ Small Entity status of this application has been established by a verified statement previously submitted.
4. ☐ A verified statement to establish Small Entity status is enclosed.

CERTIFICATE OF TRANSMITTAL UNDER 37 CFR § 1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being facsimile transmitted to the United States Patent and Trademark Office, Fax No. (703) 872-9326, on September 8, 2004.

Stanley D. Ference III

(Type or print name of person mailing paper or fee)



(Signature of person mailing paper or fee)

Page 1 of 2

**FERENCE & ASSOCIATES**  
 Amendment Transmittal

 Atty. Docket No. CH919990043  
 (590.041)

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5. ☐ Also enclosed: \_\_\_\_\_
6. ☒ No additional filing fee is required.
7. ☒ The filing fee has been calculated as shown below:

SEP 08 2004

	Claims Remaining After Amendment (Col. 1)	Highest No. Prev. paid for (Col. 2)	Present Extra (Col. 3)		SMALL ENTITY				OTHER THAN A SMALL ENTITY	
					RATE	FEE			RATE	FEE
Total Claims	16	- ** 20	= * 0	x	\$9	=	O	x	\$18	= 0
Ind. Claims	5	- *** 5	= * 0	x	\$43	=	O	x	\$86	= 0
<input type="checkbox"/> Multiple Dependent Claim Presented				+	\$145	=	O	+	\$290	=
					<b>TOTAL</b>	= \$	O		<b>TOTAL</b>	= \$0

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Prev. paid for" in this space is less than 20, write "20" in this space.

\*\*\* If the "Highest No. Prev. paid for" in this space is less than 3, write "3" in this space.

8. ☐ Applicant encloses herewith a check for \$\_\_\_\_\_ to cover the filing fee.
9. ☐ The Commissioner is hereby authorized to charge the \$\_\_\_\_\_ filing fee to Deposit Account No. 50-0510.
10. ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees associated with this communication or credit any overpayment to Deposit Account No. 50-0510.

Respectfully submitted,

FERENCE &amp; ASSOCIATES

Dated:

8-September-2004

By

 Stanley D. Ference III  
 Reg. No. 33,879

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Page 2 of 2